

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): et al.

Serial No.: 10/086,335

Filed: 3/1/2002

Title: Process for Binding Carotenoids to

Proteins and the Products Thereof

Attorney Docket No.: 4532670/59100

Commissioner for Patents Washington, D.C. 20231

Group Art Unit: 1646

Examiner:

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This	Information Disclosure Statement is submitted:
<u>/</u>	under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
	under 37 CFR 1.97(c) together with either a: Statement under 37 CFR 1.97(e), or a \$180.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
	under 37 CFR 1.97(d) together with a: Statement under 37 CFR 1.97(e), and a \$180.00 fee set forth in 37 CFR 1.17(p). (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 9-77-03

Typed Name: Jeri D. Krutsinger

Signature: Mi D. Krytingov

Kent A. Herink

Attorney/Agent for Applicant(s)

Reg. No. 31025

Date: September 18, 2003

Telephone No.: 515-288-2500

PTO/SB/21 (01-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE nder the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/086.335 **Application Number** Mar 1, 2002 Filing Date TRANSMITTAL Newman First Named Inventor **FORM** (to be used for all correspondence after initial filing) 1646 Art Unit To be assigned **Examiner Name** 4532670/59100 (KEM 60) Attorney Docket Number Total Number of Pages in This Submission (check all that apply) **ENCLOSURES** After Allowance Communication Drawing(s) Fee Transmittal Form to Group Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Amendment / Reply Proprietary Information Petition to Convert to a After Final Provisional Application Status Letter Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disdaimer Extension of Time Request identify below): Return Postcard Request for Refund Express Abandonment Request CD, Number of CD(s) ___ Supplemental Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Kent A. Herink Individual Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as

first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: Jeri D. Krutsinger Type or printed Date D. Krutsinger Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time your preparing, and submitting the completed application form to the OSFTO. Three will vary depending upon the individual case. Any comments on the amount of time your require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Translation is attached.

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